

Existing standard	Draft revision

Introductory wording:

### Standards for optical students

Our standards define the standards of behaviour and performance we expect of all registered student optometrists and student dispensing opticians.

# **The General Optical Council**

The General Optical Council is the regulator for the optical professions with statutory responsibility for setting standards for optical students.

This document sets out the eighteen standards that you must meet whilst training as an optical professional. These standards are not listed in order of priority and include standards relating to your behaviour and your supervised practice.

You are professionally responsible for what you do or do not do. You must use your own professional judgement, with the support of your training provider or supervisor, to determine how to achieve these standards.

To help you in doing so, we have provided additional information about what we expect of you under each standard. In relation to a small number of standards we may produce supplementary material where we feel that registrants need additional support.

#### Your role as a professional

As a student training to become a registered healthcare professional, you have a responsibility to ensure the care and safety of your patients and the public and to uphold professional standards.

Throughout the course of your training you will develop the knowledge and skills needed to be able to exercise professional judgement and make decisions about the care of your patient.

In the early stages of your training you will receive a greater level of support from your tutors and supervisors to assist your decision making. As you become more competent and experienced you will be required to take on increased responsibility for your decisions and professional judgements.

Requirement to be registered throughout your period of study



It is a requirement for all students enrolled on a General Optical Council-accredited course in optometry or dispensing optics to be registered throughout their period of training and to follow the standards outlined in this document.

## Consequences of not registering or following the standards

If someone raises concerns about your fitness to train, we will refer to these standards when deciding if we need to take any action.

You will need to demonstrate that your behaviour was in line with these standards and that you have acted professionally and in the best interests of your patients.

We will apply these standards in the context of the stage of training you have reached, taking into account the level of support and guidance you have received from those supervising your training.

Failure to register or follow these standards as a student, may affect your ability to register and practise as an optical professional when you qualify. In serious cases you may also be removed from your training course.

## Making the care of your patients your first and overriding concern

The care, well-being and safety of patients are at the heart of being a professional. Patients will often have the same expectations of students as they would of qualified healthcare professionals and they must always be your first concern from the beginning of your study, through to your pre-registration training and beyond.

We have therefore produced these specific standards for optical students which can be applied in the context of your study, taking account of the fact that you will develop your knowledge, skills and judgement over the period of your training.

Once your training is complete and you register as a practising optical professional you will then be expected to meet the separate Standards of Practice for Optometrists and Dispensing Opticians.

This is a new proposed statement to be added to the
introductory wording under the heading 'Your role as a
professional'

All registrants are expected to demonstrate leadership skills, attributes and behaviours, relevant to their scope of practice. Examples of when registrants could demonstrate leadership include, adopting a collaborative approach to practice and role modelling professional behaviours. Leadership skills, attributes and behaviours are embedded throughout the standards and should be applied to all aspects of your work.



This is a new proposed statement to be added to the introductory wording under the heading 'Your role as a professional'	You must comply with all legal requirements that apply to you, including but not limited to, health and safety, data protection and consumer protection. You may also have other requirements to adhere to, if you provide NHS services. If this is the case, you should ensure that they are met.
This is a new proposed statement to be added to the introductory wording under the heading 'Making the care of your patients your first and overriding concern'	You must exercise particular care when providing services to patients who, due to their personal circumstances, are in need of special care, support or protection or are at risk of abuse or neglect. Patients may be vulnerable for a range of reasons, including physical or mental health conditions, capability in managing their health, or handling a difficult set of life events. Levels of vulnerability may vary between contexts, and change over time, so a patient's vulnerabilities should be considered as part of each consultation.
1. Listen to patients and ensure that they are at the heart of the	ne decisions made about their care.
1.1 Give patients your full attention and allow sufficient time to deal properly with their needs.	No revision proposed
1.2 Listen to patients and in conjunction with your tutor or supervisor take account of their views, preferences and concerns, responding honestly and appropriately to their questions or referring to your tutor or supervisor for advice.	No revision proposed
1.3 Assist patients in exercising their rights and making informed decisions about their care. Respect the choices they make.	No revision proposed
1.4 Treat patients as individuals and respect their dignity and privacy. This includes a patient's right to confidentiality.	No revision proposed



1.5 Where possible, in consultation with your tutor or supervisor, modify your care and treatment based on your patient's needs and preferences without compromising patient safety.	No revision proposed
1.6 Consider all information provided by your patients, including where they have undertaken research in advance of the consultation. Explain clearly if the information is not valid or relevant. Work in consultation with your tutor or supervisor to achieve this.	No revision proposed
1.7 Encourage patients to ask questions and take an active part in the decisions made about their treatment, prescription and aftercare.	No revision proposed
1.8 In conjunction with your tutor or supervisor, support patients in caring for themselves, including giving advice on the effects of life choices and lifestyle on their health and well- being and supporting them in making lifestyle changes where appropriate	No revision proposed
2. Communicate effectively with your patients	
2.1 Give patients information in a way they can understand. Work with your tutor to achieve this.	No revision proposed
2.2 Ensure your patients know in advance what to expect from the consultation, giving them the opportunity to ask questions or change their mind before proceeding.	Patients should know in advance what to expect from the consultation. You should identify yourself and your role and advise patients who will provide their care. Patients should have opportunity to ask questions or change their mind before proceeding
2.3 Be alert to unspoken signals which could indicate a patient's lack of understanding, discomfort or lack of consent.	No revision proposed
2.4 Develop and use appropriate communication skills to communicate effectively with patients and their carers,	No revision proposed



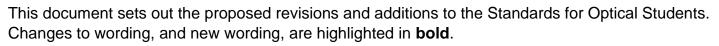
colleagues and others. Consult your tutor or supervisor when unsure of how to proceed.	
2.5 Ensure that patients or their carers have all the information they need to safely use, administer or look after optical devices, drugs or other treatment that has been prescribed or they have been directed to use in order to manage their eye conditions. This includes being actively shown how to use any of the above.	Ensure that patients or their carers have all the information they need to safely use, administer or look after <b>appliances</b> , drugs or other treatment that has been prescribed or they have been directed to use in order to manage their eye conditions. This includes being actively shown how to use any of the above.
2.6 Be sensitive and supportive when dealing with relatives or other people close to the patient.	No revision proposed
3. Obtain valid consent	
3.1 Obtain valid consent before examining a patient, providing treatment or involving patients in teaching and research activities. For consent to be valid it must be given:  3.1.1 Voluntarily.  3.1.2 By the patient or someone authorised to act on the patient's behalf.  3.1.3 By a person with the capacity to consent.  3.1.4 By an appropriately informed person. Informed means explaining what you are going to do and ensuring that patients are aware of any risks and options in terms of examination, treatment, sale or supply of optical appliances or research they are participating in. This includes the right of the patient to refuse treatment or have a chaperone or interpreter present.	3.1 Obtain valid consent before examining a patient, providing treatment or involving patients in teaching and research activities. For consent to be valid it must be given: 3.1.1 Voluntarily. 3.1.2 By the patient or someone authorised to act on the patient's behalf. 3.1.3 By a person with the capacity to consent. 3.1.4 By an appropriately informed person. In this context, informing means explaining what you are going to do and ensuring that patients are aware of any risks and options in terms of examination, treatment, supply of appliances or research they are participating in. This includes the right of the patient to refuse treatment or have a chaperone or interpreter present.
3.2 Be aware of your legal obligations in relation to consent, including the differences in the provision of consent for children, young people and vulnerable adults. When in a nation of the UK, other than where you normally study or undertake supervised practice, be aware of any differences in consent law and apply these appropriately.	No revision proposed



Ensure that the patient's consent remains valid at each stage of the examination or treatment, when sharing patient data with others and during any research in which they are participating.	
4. Show care and compassion for your patients	
No revision proposed	
Respond with humanity and kindness to circumstances where patients, their family or carers may experience pain, distress, or anxiety, <b>including when communicating bad news.</b>	
No revision proposed	
No revision proposed	
Understand and comply with the requirements of student registration with the General Optical Council and the legal obligations of undertaking any functions restricted by law, e.g., sight testing and the supply of appliances.	



You will develop your clinical skills over the course of your training, becoming more proficient as you near the end of your studies. As part of your training, you will apply these clinical skills in a real-life setting under the direction of your tutor or supervisor gradually taking more responsibility for patients as your skills develop. In conjunction with your tutor or supervisor:	
6.1 Conduct an adequate assessment for the purposes of the optical consultation, including where necessary any relevant medical, family and social history of the patient. This may include current symptoms, personal beliefs or cultural factors.	Conduct an adequate assessment for the purposes of the optical consultation, including where necessary any relevant medical, family, and social history of the patient. This may include current symptoms, personal beliefs, cultural factors, <b>or vulnerabilities</b> .
6.2 Provide or arrange any further examinations, advice, investigations or treatment if required for your patient. This should be done in a timescale that does not compromise patient safety and care.	No revision proposed
6.3 Only prescribe optical devices, drugs, or treatment when you have adequate knowledge of the patient's health.	Only prescribe <b>appliances</b> , drugs, or treatment when you have adequate knowledge of the patient's health.
6.4 Check that the care and treatment you provide for each patient is compatible with any other treatments the patient is receiving, including (where possible) over-the-counter medications.	No revision proposed.
6.5 Provide effective patient care and treatments based on current good practice.	No revision proposed
6.6 Only provide or recommend examinations, treatments, drugs or optical devices if these are clinically justified and in the best interests of the patient.	Only provide or recommend examinations, treatments, drugs or optical appliances if these are clinically justified and in the best interests of the patient. Give patients information about all the options available to them, including declining further treatment or intervention, in a way they can understand.
6.7 When in doubt, consult with your tutor or supervisor appropriately for advice on assessment, examination, treatment	No revision proposed





and other aspects of patient care, bearing in mind the need for patient confidentiality.	
This is a new proposed standard:	Apply your professional judgement when utilising data generated by digital technologies to inform decision making.
7. Maintain adequate patient records	
7.1 Maintain clear, legible and contemporaneous patient records which are accessible for all those involved in the patient's care.	No revision proposed
<ul> <li>7.2 As a minimum, record the following information: <ul> <li>7.2.1 The date of the consultation.</li> <li>7.2.2 Your patient's personal details.</li> <li>7.2.3 The reason for the consultation and any presenting condition.</li> <li>7.2.4 The details and findings of any assessment or examination conducted.</li> <li>7.2.5 The treatment, referral or advice you provided, including any drugs or optical device prescribed or a copy of the referral letter.</li> <li>7.2.6 Consent obtained for any examination or treatment.</li> <li>7.2.7 Details of all those involved in the optical consultation, including name and signature or other identification of the author. This includes details of your supervisor including name and GOC registration number.</li> </ul> </li> <li>8. Ensure that supervision is undertaken appropriately and consultation.</li> </ul>	7.2 As a minimum, record the following information: 7.2.1 The date of the consultation. 7.2.2 Your patient's personal details. 7.2.3 The reason for the consultation and any presenting condition. 7.2.4 The details and findings of any assessment or examination conducted. 7.2.5 The treatment, referral or advice you provided, including any drugs or appliance prescribed or a copy of the referral letter. 7.2.6 Consent obtained for any examination or treatment. 7.2.7 Details of all those involved in the optical consultation, including name and signature or other identification of the author. This includes details of your supervisor including name and GOC registration number.

The responsibility to ensure that supervision does not compromise patient care and safety is shared between the supervisor and the trainee. When being supervised:



8.1 You must only be supervised by someone who is approved by your employer or training provider.	No revision proposed
8.2 Ensure that your supervisor is on the premises, in a position to oversee the work you undertake and is ready to intervene if necessary in order to protect patients.	No revision proposed
8.3 Your supervisor retains clinical responsibility for the patient.	No revision proposed
8.4 Comply with all legal requirements governing the activity.	No revision proposed
9. Work collaboratively with your peers, tutors, supervisors or other colleagues in the interests of patients	
9.1 Work collaboratively with your peers, tutors, supervisors, other colleagues within the optical professions and other health and social care practitioners in the best interests of your patients, ensuring that your communication is clear and effective.	No revision proposed
9.2 Ensure that patient information is shared appropriately with others, and clinical records are accessible by all involved in the patient's care.	No revision proposed
9.3 Where disagreements occur between yourself, your tutor, peers or other colleagues, ensure that these do not impact on patient care and aim to resolve these for the benefit of the patient.	No revision proposed
10. Protect and safeguard patients, colleagues and others from harm	
10.1 Protect and safeguard children, young people and vulnerable adults from abuse. You must: 10.1.1 Be alert to signs of abuse and denial of rights. 10.1.2 Consider the needs and welfare of your patients.	No revision proposed



<ul> <li>10.1.3 Report concerns to an appropriate person or organisation, whether this is your tutor, supervisor or training provider.</li> <li>10.1.4 Act quickly in order to prevent further risk of harm. Seek advice immediately if you are unsure of how to proceed.</li> <li>10.1.5 Keep adequate notes on what has happened and what actions you took.</li> </ul>	
10.2 Promptly raise concerns about your patients, peers, colleagues, tutor, supervisor, training provider or other organisation, if patient or public safety might be at risk and encourage others to do the same. Concerns should be raised with your supervisor, training provider or the General Optical Council as appropriate. This is sometimes referred to as 'whistle-blowing' and certain aspects of this are protected by law.	No revision proposed
10.3 If you have concerns about your own fitness to practise, whether due to issues with health, character, behaviour, judgement or any other matter that may damage the reputation of your profession, do not participate in any further clinical training and seek advice from your training provider immediately.	If you have concerns about your own fitness to practise, whether due to issues with health, character, behaviour, judgement, or any other matter <b>which may compromise patient safety</b> or damage the reputation of your profession, do not participate in any further clinical training and seek advice from your training provider immediately.
10.4 If patients are at risk because of inadequate premises, equipment, resources, employment policies or systems, put the matter right if that is possible and/or raise a concern with your training provider.	No revision proposed
10.5 Ensure that when reporting concerns, you take account of your obligations to maintain confidentiality as outlined in standard 13.	No revision proposed
This is a new proposed standard	If you have a serious communicable disease, or have been exposed to a serious communicable disease, and believe you could be a carrier, you should not practise until you have sought



	appropriate medical advice. You must follow the medical advice received, which may include the need to suspend, or modify your practice and/or guidance on how to prevent transmission of the disease to others.
11. Ensure a safe environment for your patients	
11.1 Ensure that a safe environment is provided to deliver care to your patients, and take appropriate action if this is not the case (see standard 10), by raising your concerns with your training provider. In particular:  11.1.1 Be aware of and comply with health and safety legislation.  11.1.2 Ensure that the environment and equipment that you use is hygienic.  11.1.3 Ensure that equipment that you use has been appropriately maintained.  11.1.4 Follow the regulations on substances hazardous to health.  11.1.5 Dispose of controlled, clinical and offensive materials in an appropriate manner.  11.1.6 Minimise the risk of infection by following appropriate infection controls including hand hygiene.	No revision proposed
11.2 In an emergency, take appropriate action to provide care, taking into account your competence and other available options. You must:  11.2.1 Use your professional judgement to assess the urgency of the situation.  11.2.2 Provide any care that is within your scope of	No revision proposed
11.2.2 Provide any care that is within your scope of training which will provide benefit for the patient.	



11.2.3 Make your best efforts to refer or signpost the patient to a healthcare professional or source of care where appropriate.		
12. Show respect and fairness to others and do not discrimin	12. Show respect and fairness to others and do not discriminate	
12.1 Respect a patient's dignity, showing politeness and consideration.	No revision proposed	
12.2 Promote equality, value diversity and be inclusive in all your dealings. Do not discriminate on the grounds of gender, sexual orientation, age, disability, gender reassignment, marriage and civil partnership, pregnancy and maternity, race, religion or belief.	Promote equality, value diversity and be inclusive in all your dealings with patients, the public, colleagues, and others with whom you have a professional relationship. Do not discriminate on the grounds of protected characteristics.	
12.3 Ensure that your own religious, moral, political or personal beliefs and values do not prejudice patients' care. If these prevent you from providing a service, ensure that you consult with your tutor, supervisor or training provider to make alternative arrangements.	No revision proposed	
12.4 Respect peers' and colleagues' skills and contributions and do not discriminate.  12.5 Refrain from making unnecessary or disparaging comments about your peers, tutors, supervisors, training provider or other colleagues which could make a patient doubt their competence, skills or fitness to practise, either in public or private. If you have concerns about a colleague's fitness to practise or the performance of your training provider or placement, then please refer to standard 10.	Propose standards merged are as follows;  Respect peers' and colleagues' skills and contributions, and refrain from making unnecessary, or disparaging comments about your peers, tutors, supervisors, training provider or other colleagues, which could make a patient doubt their competence, skills, or fitness to practise. This applies to public, private, and online communications. If you have concerns about a colleague's fitness to practise or the performance of your training provider or placement, then please refer to standard 10.	



12.6 Consider and respond to the needs of disabled patients and make reasonable adjustments in conjunction with your tutor, supervisor or training provider to accommodate these and improve access to optical care.	Consider and respond to the needs of patients with a disability, and patients in vulnerable circumstances, and make reasonable adjustments to your practice to accommodate these and improve access to optical care.	
12.7 Challenge your peers if their behaviour is discriminatory and be prepared to report behaviour that amounts to abuse or denial of a patient's or colleague's rights or patient safety.	No revision proposed	
13. Maintain confidentiality and respect your patients' privacy		
13.1 Keep confidential all information about patients in compliance with the law, including information which is handwritten, digital, visual, audio or retained in your memory.	No revision proposed	
13.2 Maintain confidentiality when communicating publicly, including speaking to or writing in the media, or writing online including on social media.	Maintain confidentiality when communicating publicly, including speaking to or writing in the media, when writing and sharing images online, including on social media.	
13.3 Co-operate with formal inquiries and investigations and provide all relevant information that is requested in line with your obligations to patient confidentiality.	No revision proposed	
13.4 Provide an appropriate level of privacy for your patients during consultation to ensure that the process of information gathering, examination and treatment remain confidential. Different patients will require different levels of privacy and their preferences must be taken into account.	No revision proposed	
13.5 Only use the patient information you collect for the purposes it was given, or where you are required to share it by law.	Only use the patient information you collect for the purposes it was given, or where you are required to share it by law, or in the public interest.	



13.6 Securely store and protect your patient records to prevent loss, theft and inappropriate disclosure, in accordance with data protection law as outlined in the policies of your training provider.	No revision proposed	
13.7 Confidentially dispose of patient records when no longer required in line with data protection requirements.	No revision proposed	
14. Maintain appropriate boundaries with others		
14.1 Maintain proper professional boundaries with your patients, students and others that you come into contact with during the course of your professional training and take special care when dealing with vulnerable people.	Maintain appropriate boundaries with your patients, students, colleagues, and others with whom you have a professional relationship and take special care when dealing with people in vulnerable circumstances. Maintaining appropriate boundaries applies to your behaviours, actions, and communications.	
14.2 Never abuse your professional position to exploit or unduly influence your patients or the public, whether politically, financially, sexually or by other means which serve your own interest.	Never abuse your professional position to exploit or unduly influence your patients or the public, whether politically, financially, sexually or by other means which serve your own interest. <b>Take particular care when dealing with people in vulnerable circumstances</b>	
This is a new proposed standard:	You must not act in a sexual way towards patients, students, colleagues, or others with whom you have a professional relationship, with the effect or purpose of causing offence, embarrassment, humiliation, or distress. Maintaining sexual boundaries applies to your behaviours, actions, and communications.	
15. Be honest and trustworthy		
15.1 Act with honesty and integrity to maintain public trust and confidence in your profession.	No revision proposed	



15.2 Avoid or manage any conflicts of interest which might affect your professional judgement. If appropriate, declare an interest, withdraw yourself from the conflict and decline gifts and hospitality.	No revision proposed
15.3 Ensure that incentives, targets and similar factors do not affect your professional judgement. Do not allow personal or commercial interests and gains to compromise patient care.	No revision proposed
15.4 Ensure that you do not make false or misleading statements when describing your individual knowledge, experience, expertise and specialties, including by the use of titles.	No revision proposed
15.5 Be honest in your financial and commercial dealings and give patients clear information about the costs of your professional services and products before they commit to buying.	No revision proposed
15.6 Do not make misleading, confusing or unlawful statements within your advertising.	Do not make misleading, confusing, or unlawful statements within your <b>communications or</b> advertising.
	your <b>communications or</b> advertising.
within your advertising.	your <b>communications or</b> advertising.
within your advertising.  16. Do not damage the reputation of your profession through  16.1 Ensure that your conduct, whether or not connected to your professional study does not damage public confidence in you or	your communications or advertising.  your conduct



17. Deepend to complaints effectively		
17. Respond to complaints effectively		
17.1 Follow the complaints system that your training provider has in place, making patients aware of their opportunities to complain to yourself or your training provider. At the appropriate stage in the process, the patient should also be informed of their rights to complain to the General Optical Council or to seek mediation through the Optical Consumer Complaints Service as	No revision proposed	
appropriate.  17.2 Respect a patient's right to complain and ensure that the making of a complaint does not prejudice patient care.	No revision proposed	
17.3 Respond honestly, openly, politely and constructively to anyone who complains and apologise where appropriate.	No revision proposed	
17.4 Provide any information that a complainant might need to progress a complaint including your General Optical Council registration details.	No revision proposed	
18. Be candid when things have gone wrong		
18.1 Be open and honest with your patients when you have identified that things have gone wrong with their treatment or care which has resulted in them suffering harm or distress or where there may be implications for future patient care, seeking advice from your tutor or supervisor on how to proceed. They will advise on whether further action is required such as:  18.1.1 Telling the patient (or, where appropriate, the patient's advocate, carer or family) that something has gone wrong.  18.1.2 Offering an apology.  18.1.3 Offering appropriate remedy or support to put matters right (if possible).	No revision proposed	



18.1.4 Explaining fully and promptly what has happened and the likely short-term and long-term effects. 18.1.5 Outlining what you will do, where possible, to prevent reoccurrence and improve future patient care.	
18.2 Be open and honest with your supervisor or training provider and take part in reviews and investigations when requested and with the General Optical Council, raising concerns where appropriate. Support and encourage your peers to be open and honest, and not stop someone from raising concerns.	No revision proposed
18.3 Ensure that when things go wrong, you reflect on what happened and use the experience to improve.	No revision proposed