

Existing standard	Draft revision

Introductory wording:

Standards of Practice

Our Standards of Practice define the standards of behaviour and performance we expect of all registered optometrists and dispensing opticians.

The General Optical Council

The General Optical Council is the UK regulator for the optical professions with statutory responsibility for setting standards.

This document sets out the nineteen standards that you must meet as an optical professional. These standards are not listed in order of priority and include both standards relating to your behaviour and your professional performance. You will need to use your professional judgement in deciding how to meet the standards. To help you in doing so, we have provided additional information about what we expect of you under each standard.

In relation to a small number of standards we may produce supplementary material where we feel that registrants need additional support.

Your role as a professional

As a healthcare professional you have a responsibility to ensure the care and safety of your patients and the public and to uphold professional standards.

You are professionally accountable and personally responsible for your practice and for what you do or do not do, no matter what direction or guidance you are given by an employer or colleague. This means you must always be able to justify your decisions and actions.

If someone raises concerns about your fitness to practise, we will refer to these standards when deciding if we need to take any action. You will need to demonstrate that your decision making was informed by these standards and that you have acted in the best interests of your patients.



Making the care of your patients your first and overriding concern

The care, well-being and safety of patients must always be your first concern. This is at the heart of being a healthcare professional. Even if you do not have direct contact with patients, your decisions or behaviour can still affect their care and safety.

Even if you do not have direct contact with patients, your decisions or behaviour can still affect their care and safety.	
This is a new proposed statement to be added to the introductory wording under the heading 'Your role as a professional'	All registrants are expected to demonstrate leadership skills, attributes and behaviours, relevant to their scope of practice. Examples of when registrants could demonstrate leadership include; adopting a collaborative approach to practice, role modelling professional behaviours, and contributing to the education and training of others. Leadership skills, attributes and behaviours are embedded throughout the standards and should be applied to all aspects of your work.
This is a new proposed statement to be added to the introductory wording under the heading 'Your role as a professional'	You must comply with all legal requirements that apply to you, including but not limited to, health and safety, data protection and consumer protection. You may also have other requirements to adhere to if you provide NHS services. If this is the case, you should ensure that they are met.
This is a new proposed statement to be added to the introductory wording under the heading 'Making the care of your patients your first and overriding concern'	You must exercise particular care when providing services to patients who, due to their personal circumstances, are in need of special care, support or protection or are at risk of abuse or neglect. Patients may be vulnerable for a range of reasons, including physical or mental health conditions, capability in managing their health, or handling a difficult set of life events. Levels of vulnerability may vary between contexts, and change over time, so a patient's



	vulnerabilities should be considered as part of each consultation.
1. Listen to patients and ensure they are at the heart of the decis	ions made about their care.
1.1 Give patients your full attention and allow sufficient time to deal properly with their needs.	No revision proposed
1.2 Listen to patients and take account of their views, preferences and concerns, responding honestly and appropriately to their questions.	No revision proposed
1.3 Assist patients in exercising their rights and making informed decisions about their care. Respect the choices they make.	No revision proposed
1.4 Treat patients as individuals and respect their dignity and privacy. This includes a patient's right to confidentiality.	No revision proposed
1.5 Where possible, modify your care and treatment based on your patient's needs and preferences without compromising their safety.	No revision proposed
1.6 Consider all information provided by your patients, including where they have undertaken research in advance of the consultation. Explain clearly if the information is not valid or relevant.	No revision proposed
1.7 Encourage patients to ask questions and take an active part in the decisions made about their treatment, prescription and aftercare.	No revision proposed
1.8 Support patients in caring for themselves, including giving advice on the effects of life choices and lifestyle on their health and well-being and supporting them in making lifestyle changes where appropriate.	No revision proposed
2.Communicate effectively with your patients	



2.1 Give patients information in a way they can understand. Use your professional judgement to adapt your language and communication approach as appropriate.	No revision proposed
2.2 Patients should know in advance what to expect from the consultation and have the opportunity to ask questions or change their mind before proceeding.	Patients should know in advance what to expect from the consultation. You should identify yourself and your role and advise patients who will provide their care. Patients should have opportunity to ask questions or change their mind before proceeding
2.3 Be alert to unspoken signals which could indicate a patient's lack of understanding, discomfort or lack of consent.	No revision proposed
2.4 Ensure that the people you are responsible for are able to communicate effectively with patients and their carers, colleagues and others.	No revision proposed
2.5 Ensure that patients or their carers have all the information they need to safely use, administer or look after any optical devices, drugs or other treatment that they have been prescribed or directed to use in order to manage their eye conditions. This includes being actively shown how to use any of the above.	Ensure that patients or their carers have all the information they need to safely use, administer or look after any appliances , drugs or other treatment that they have been prescribed or directed to use in order to manage their eye conditions. This includes being actively shown how to use any of the above.
2.6 Be sensitive and supportive when dealing with relatives or other people close to the patient.	No revision proposed
3. Obtain valid consent	
3.1 Obtain valid consent before examining a patient, providing treatment or involving patients in teaching and research activities. For consent to be valid it must be given: 3.1.1 Voluntarily. 3.1.2 By the patient or someone authorised to act on the patient's behalf.	Obtain valid consent before examining a patient, providing treatment or involving patients in teaching and research activities. For consent to be valid it must be given: 3.1.1 Voluntarily. 3.1.2 By the patient or someone authorised to act on the patient's behalf.



3.1.3 By a person with the capacity to consent. 3.1.4 By an appropriately informed person. Informed means explaining what you are going to do and ensuring that patients are aware of any risks and options in terms of examination, treatment, sale or supply of optical appliances or research they are participating in. This includes the right of the patient to refuse treatment or have a chaperone or interpreter present.	3.1.3 By a person with the capacity to consent. 3.1.4 By an appropriately informed person. In this context, informing means explaining what you are going to do and ensuring that patients are aware of any risks and options in terms of examination, treatment, supply of appliances or research they are participating in. This includes the right of the patient to refuse treatment or have a chaperone or interpreter present.	
3.2 Be aware of your legal obligations in relation to consent, including the differences in the provision of consent for children, young people and vulnerable adults. When working in a nation of the UK other than where you normally practise, be aware of any differences in consent law and apply these to your practice.	No revision proposed	
3.3 Ensure that the patient's consent remains valid at each stage of the examination or treatment and during any research in which they are participating.	Ensure that the patient's consent remains valid at each stage of the examination or treatment, when sharing patient data with others, and during any research in which they are participating	
4. Show care and compassion for your patients		
4.1 Treat others with dignity and show empathy and respect.	No revision proposed	
4.2 Respond with humanity and kindness to circumstances where patients, their family or carers may experience pain, distress or anxiety.	Respond with humanity and kindness to circumstances where patients, their family or carers may experience pain, distress or anxiety, including when communicating bad news.	
5. Keep your knowledge and skills up to date		



5.1 Be competent in all aspects of your work, including clinical practice, supervision, teaching, research and management roles, and do not perform any roles in which you are not competent.	No revision proposed
5.2 Comply with the Continuing Education and Training (CET) requirements of the General Optical Council as part of a commitment to maintaining and developing your knowledge and skills throughout your career as an optical professional.	Comply with the Continuing Professional Development (CPD) requirements of the General Optical Council as part of a commitment to maintaining and developing your knowledge and skills throughout your career as an optical professional.
5.3 Be aware of current good practice, taking into account relevant developments in clinical research, and apply this to the care you provide.	Be aware of current good practice, taking into account relevant developments in clinical research and practice, including digital technologies, to inform the care you provide.
5.4 Reflect on your practice and seek to improve the quality of your work through activities such as reviews, audits, appraisals or risk assessments. Implement any actions arising from these	No revision proposed
6. Recognise, and work within, your limits of competence	
6.1 Recognise and work within the limits of your scope of practice, taking into account your knowledge, skills and experience.	No revision proposed
6.2 Be able to identify when you need to refer a patient in the interests of the patient's health and safety and make appropriate referrals.	No revision proposed
6.3 Ensure that you have the required qualifications relevant to your practice.	No revision proposed
6.4 Understand and comply with the requirements of registration with the General Optical Council and the legal obligations of	Understand and comply with the requirements of registration with the General Optical Council and the legal obligations of



undertaking any functions restricted by law, i.e. sight testing and the sale and supply of optical devices.	undertaking any functions restricted by law, e.g. , sight testing and the supply of appliances .	
7. Conduct appropriate assessments, examinations, treatments and referrals		
7.1 Conduct an adequate assessment for the purposes of the optical consultation, including where necessary any relevant medical, family and social history of the patient. This may include current symptoms, personal beliefs or cultural factors.	Conduct an adequate assessment for the purposes of the optical consultation, including where necessary any relevant medical, family and social history of the patient. This may include current symptoms, personal beliefs, cultural factors and vulnerabilities.	
7.2 Provide or arrange any further examinations, advice, investigations or treatment if required for your patient. This should be done in a timescale that does not compromise patient safety and care.	No revision proposed	
7.3 Only prescribe optical devices, drugs, or treatment when you have adequate knowledge of the patient's health.	Only prescribe appliances , drugs, or treatment when you have adequate knowledge of the patient's health.	
7.4 Check that the care and treatment you provide for each patient is compatible with any other treatments the patient is receiving, including (where possible) over-the-counter medications.	No revision proposed	
7.5 Provide effective patient care and treatments based on current good practice.	No revision proposed	
7.6 Only provide or recommend examinations, treatments, drugs or optical devices if these are clinically justified and in the best interests of the patient.	Only provide or recommend examinations, treatments, drugs or appliances if these are clinically justified and in the best interests of the patient. Give patients information about all the options available to them, including declining further treatment or intervention, in a way they can understand.	



7.7 When in doubt, consult with professional colleagues appropriately for advice on assessment, examination, treatment and other aspects of patient care, bearing in mind the need for patient confidentiality.	No revision proposed
This is a new proposed standard:	Apply your professional judgement when utilising data generated by digital technologies to inform decision making.
8. Maintain adequate patient records	
8.1 Maintain clear, legible and contemporaneous patient records which are accessible for all those involved in the patient's care.	No revision proposed
 8.2 As a minimum, record the following information: 8.2.1 The date of the consultation. 8.2.2 Your patient's personal details. 8.2.3 The reason for the consultation and any presenting condition. 8.2.4 The details and findings of any assessment or examination conducted. 8.2.5 Details of any treatment, referral or advice you provided, including any drugs or optical device prescribed or a copy of a referral letter. 8.2.6 Consent obtained for any examination or treatment. 8.2.7 Details of all those involved in the optical consultation, including name and signature, or other identification of the author. 9. Ensure that supervision is undertaken appropriately and company treatment appropriately and company that supervision is undertaken appropriately and company treatment appropriately appropriat	As a minimum, record the following information: 8.2.1 The date of the consultation. 8.2.2 Your patient's personal details. 8.2.3 The reason for the consultation and any presenting condition. 8.2.4 The details and findings of any assessment or examination conducted. 8.2.5 Details of any treatment, referral or advice you provided, including any drugs or appliance prescribed or a copy of a referral letter. 8.2.6 Consent obtained for any examination or treatment. 8.2.7 Details of all those involved in the optical consultation, including name and signature, or other identification of the author.



This applies to supervision of pre-registration trainees and unregister to ensure that supervision does not compromise patient care and safe Adequate supervision requires you to:	
9.1 Be sufficiently qualified and experienced to undertake the functions you are supervising.	No revision proposed
9.2 Only delegate to those who have appropriate qualifications, knowledge or skills to perform the delegated activity.	No revision proposed
9.3 Be on the premises, in a position to oversee the work undertaken and ready to intervene if necessary in order to protect patients.	No revision proposed
9.4 Retain clinical responsibility for the patient. When delegating you retain responsibility for the delegated task and for ensuring that it has been performed to the appropriate standard.	No revision proposed
9.5 Take all reasonable steps to prevent harm to patients arising from the actions of those being supervised.	No revision proposed
9.6 Comply with all legal requirements governing the activity.	No revision proposed
9.7 Ensure that details of those being supervised or performing delegated activities are recorded on the patient record.	No revision proposed
10. Work collaboratively with colleagues in the interests of patients	nts
10.1 Work collaboratively with colleagues within the optical professions and other healthcare practitioners in the best interests of your patients, ensuring that your communication is clear and effective.	No revision proposed
10.2 Refer a patient only where this is clinically justified, done in the interests of the patient and does not compromise patient care or	No revision proposed



safety. When making or accepting a referral it must be clear to both parties involved who has responsibility for the patient's care.	
10.3 Ensure that those individuals or organisations to which you refer have the necessary qualifications and registration so that patient care is not compromised.	No revision proposed
10.4 Ensure that patient information is shared appropriately with others, and clinical records are accessible to all involved in the patient's care.	No revision proposed
10.5 Where disagreements occur between colleagues, aim to resolve these for the benefit of the patient.	No revision proposed
11. Protect and safeguard patients, colleagues and others from h	narm
11.1 You must be aware of and comply with your legal obligations in relation to safeguarding of children, young people and vulnerable adults.	No revision proposed
11.2 Protect and safeguard children, young people and vulnerable adults from abuse. You must: 11.2.1 Be alert to signs of abuse and denial of rights. 11.2.2 Consider the needs and welfare of your patients. 11.2.3 Report concerns to an appropriate person or organisation. 11.2.4 Act quickly in order to prevent further risk of harm. 11.2.5 Keep adequate notes on what has happened and what actions you took.	No revision proposed
11.3 Promptly raise concerns about your patients, colleagues, employer or other organisation if patient or public safety might be at risk and encourage others to do the same. Concerns should be raised with your employing, contracting, professional or regulatory	No revision proposed



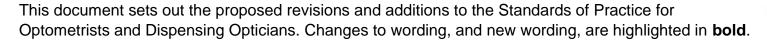
organisation as appropriate. This is sometimes referred to as 'whistle-blowing' and certain aspects of this are protected by law.	
11.4 If you have concerns about your own fitness to practise whether due to issues with health, character, behaviour, judgement or any other matter that may damage the reputation of your profession, stop practising immediately and seek advice	If you have concerns about your own fitness to practise, whether due to issues with health, character, behaviour, judgement or any other matter which may compromise patient safety or damage the reputation of your profession, stop practising immediately and seek appropriate advice.
11.5 If patients are at risk because of inadequate premises, equipment, resources, employment policies or systems, put the matter right if that is possible and/or raise a concern.	No revision proposed
11.6 Ensure that any contracts or agreements that you enter into do not restrict you from raising concerns about patient safety including restricting what you are able to say when raising the concern.	No revision proposed
11.7 Ensure that when reporting concerns, you take account of your obligations to maintain confidentiality as outlined in standard 14.	No revision proposed
This is a new proposed standard:	If you have a serious communicable disease, or have been exposed to a serious communicable disease, and believe you could be a carrier, you should not practise until you have sought appropriate medical advice. You must follow the medical advice received, which may include the need to suspend, or modify your practice and/or guidance on how to prevent transmission of the disease to others.
12. Ensure a safe environment for your patients	
12.1 Ensure that a safe environment is provided to deliver care to your patients and take appropriate action if this is not the case (see standard 11). In particular:	No revision proposed



12.1.1 Be aware of and comply with health and safety legislation. 12.1.2 Ensure that the environment and equipment that you use is hygienic. 12.1.3 Ensure that equipment that you use has been appropriately maintained. 12.1.4 Follow the regulations on substances hazardous to health. 12.1.5 Dispose of controlled, clinical and offensive materials in an appropriate manner. 12.1.6 Minimise the risk of infection by following appropriate infection controls including hand hygiene.	
 12.2 Have adequate professional indemnity insurance and only work in practices that have adequate public liability insurance. This includes the following: 12.2.1 If insurance is provided by your employer, you must confirm that adequate insurance is in place. 12.2.2 If you work in multiple practices, you must ensure that there is adequate insurance to cover each working environment. 12.2.3 Your professional indemnity insurance must provide continuous cover for the period you are in practice. 12.2.4 Your professional indemnity insurance must cover complaints that are received after you stop practising, as these might be received years later – this is sometimes referred to as 'run-off' cover. 	No revision proposed
12.3 Ensure that when working in the home of a patient or other community setting, the environment is safe and appropriate for the delivery of care.	No revision proposed

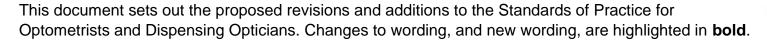


12.4 In an emergency, take appropriate action to provide care, taking into account your competence and other available options. You must: 12.4.1 Use your professional judgement to assess the urgency of the situation. 12.4.2 Provide any care that is within your scope of practice which will provide benefit for the patient. 12.4.3 Make your best efforts to refer or signpost the patient to another healthcare professional or source of care where appropriate.	No revision proposed
13. Show respect and fairness to others and do not discriminate	
13.1 Respect a patient's dignity, showing politeness and consideration.	No revision proposed
13.2 Promote equality, value diversity and be inclusive in all your dealings and do not discriminate on the grounds of gender, sexual orientation, age, disability, gender reassignment, marriage and civil partnership, pregnancy and maternity, race, religion or belief.	Promote equality, value diversity and be inclusive in all your dealings with patients, the public, colleagues, and others with whom you have a professional relationship. Do not discriminate on the grounds of protected characteristics.
13.3 Ensure that your own religious, moral, political or personal beliefs and values do not prejudice patients' care. If these prevent you from providing a service, ensure that you refer patients to other appropriate providers.	No revision proposed
13.4 Respect colleagues' skills and contributions and do not	Propose standard is merged with standard 13.6 as follows;
discriminate.	Respect colleagues' skills and contributions, and refrain from making unnecessary, or disparaging comments which could make a patient doubt your colleagues' competence, skills, or fitness to practise. This applies to public, private,



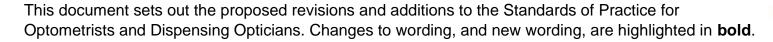


	and online communications. If you have concerns about a colleague's fitness to practise, then please refer to standard 11.
13.5 Be aware of how your own behaviour might influence colleagues and students and demonstrate professional behaviour at all times.	No revision proposed
13.6 Refrain from making unnecessary or disparaging comments which could make a patient doubt your colleagues' competence, skills or fitness to practise, either in public or private. If you have concerns about a colleague's fitness to practise, then please refer to standard 11.	Propose standard is merged with standard 13.4 as outlined above
13.7 Support colleagues and offer guidance where they have identified problems with their performance or health or they have sought your help, but always put the interests and safety of patients first.	No revision proposed
13.8 Consider and respond to the needs of disabled patients and make reasonable adjustments to your practice to accommodate these and improve access to optical care.	Consider and respond to the needs of patients with a disability, and patients in vulnerable circumstances, and make reasonable adjustments to your practice to accommodate these and improve access to optical care.
13.9 Challenge colleagues if their behaviour is discriminatory and be prepared to report behaviour that amounts to the abuse or denial of a patient's or colleague's rights, or could undermine patient safety.	No revision proposed
14. Maintain confidentiality and respect your patients' privacy	





14.1 Keep confidential all information about patients in compliance with the law, including information which is handwritten, digital, visual, audio or retained in your memory.	No revision proposed
14.2 Ensure that all staff you employ or are responsible for, are aware of their obligations in relation to maintaining confidentiality.	No revision proposed
14.3 Maintain confidentiality when communicating publicly, including speaking to or writing in the media, or writing online including on social media.	Maintain confidentiality when communicating publicly, including speaking to or writing in the media, when writing and sharing images online, including on social media.
14.4 Co-operate with formal inquiries and investigations and provide all relevant information that is requested in line with your obligations to patient confidentiality.	No revision proposed
14.5 Provide an appropriate level of privacy for your patients during consultation to ensure that the process of information gathering, examination and treatment remains confidential. Different patients will require different levels of privacy and their preferences must be taken into account.	No revision proposed
14.6 Only use the patient information you collect for the purposes it was given, or where you are required to share it by law.	14.6 Only use the patient information you collect for the purposes it was given, or where you are required to share it by law, or in the public interest.
14.7 Securely store and protect your patient records to prevent loss, theft and inappropriate disclosure, in accordance with data protection law. If you are an employee, then this would be in accordance with your employer's storage policy.	No revision proposed
14.8 Confidentially dispose of patient records when no longer required in line with data protection requirements.	No revision proposed





15. Maintain appropriate boundaries with others		
15.1 Maintain proper professional boundaries with your patients, students and others that you come into contact with during the course of your professional practice and take special care when dealing with vulnerable people.	Maintain appropriate boundaries with your patients, students, colleagues and others with whom you have a professional relationship and take special care when dealing with people in vulnerable circumstances. Maintaining appropriate boundaries applies to your behaviours, actions, and communications.	
15.2 Never abuse your professional position to exploit or unduly influence your patients or the public, whether politically, financially, sexually or by other means which serve your own interest.	Never abuse your professional position to exploit or unduly influence your patients or the public, whether politically, financially, sexually or by other means which serve your own interest. Take particular care when dealing with people in vulnerable circumstances.	
This is a new proposed standard:	You must not act in a sexual way towards patients, students, colleagues, or others with whom you have a professional relationship, with the effect or purpose of causing offence, embarrassment, humiliation, or distress. Maintaining sexual boundaries applies to your behaviours, actions, and communications.	
16. Be honest and trustworthy		
16.1 Act with honesty and integrity to maintain public trust and confidence in your profession.	No revision proposed	
16.2 Avoid or manage any conflicts of interest which might affect your professional judgement. If appropriate, declare an interest, withdraw yourself from the conflict and decline gifts and hospitality.	No revision proposed	



16.3 Ensure that incentives, targets and similar factors do not affect your professional judgement. Do not allow personal or commercial interests and gains to compromise patient safety.	No revision proposed
16.4 Ensure that you do not make false or misleading statements when describing your individual knowledge, experience, expertise and specialties, including by the use of titles.	No revision proposed
16.5 Be honest in your financial and commercial dealings and give patients clear information about the costs of your professional services and products before they commit to buying.	No revision proposed
16.6 Do not make misleading, confusing or unlawful statements within your advertising.	Do not make misleading, confusing, or unlawful statements within your communications or advertising.
17. Do not damage the reputation of your profession through your conduct	
17.1 Ensure your conduct, whether or not connected to your professional practice, does not damage public confidence in you or your profession.	No revision proposed
17.2 Ensure your conduct in the online environment, particularly in relation to social media, whether or not connected to your professional practice, does not damage public confidence in you or your profession.	No revision proposed
17.3 Be aware of and comply with the law and regulations that affect your practice, and all the requirements of the General Optical Council.	No revision proposed
18. Respond to complaints effectively	
18.1 Operate a complaints system or follow the system that your employer has in place, making patients aware of their opportunities to complain to yourself or your employer. At the appropriate stage in	No revision proposed



the process, the patient should also be informed of their rights to complain to the General Optical Council or to seek mediation through the Optical Consumer Complaints Service. 18.2 Respect a patient's right to complain and ensure that the making of a complaint does not prejudice patient care.	No revision proposed
18.3 Respond honestly, openly, politely and constructively to anyone who complains and apologise where appropriate.	No revision proposed
18.4 Provide any information that a complainant might need to progress a complaint, including your General Optical Council registration details and details of any registered specialty areas of practice.	No revision proposed
19. Be candid when things have gone wrong	
19.1 Be open and honest with your patients when you have identified that things have gone wrong with their treatment or care which has resulted in them suffering harm or distress or where there may be implications for future patient care. You must: 19.1.1 Tell the patient or, where appropriate, the patient's advocate, carer or family that something has gone wrong. 19.1.2 Offer an apology. 19.1.3 Offer appropriate remedy or support to put matters right (if possible). 19.1.4 Explain fully and promptly what has happened and the likely short-term and long-term effects. 19.1.5 Outline what you will do, where possible, to prevent reoccurrence and improve future patient care.	No revision proposed
19.2 Be open and honest with your colleagues, employers and relevant organisations, and take part in reviews and investigations when requested, and with the General Optical Council, raising concerns where appropriate. Support and encourage your	No revision proposed



colleagues to be open and honest, and not stop someone from raising concerns.	
19.3 Ensure that when things go wrong, you take account of your obligations to reflect and improve your practice as outlined in standard 5.	No revision proposed